

Know Your Customer (KYC) form (companies that are not financial institutions)

Please complete all questions of this form. In case you think that the question is not applicable to your institution, please write on the form 'Not Applicable'.

Mark also with an X the relevant boxes.

1. **Company name, including the legal form.**

2. **Type and number of identification document.**

Type: ID	<input type="checkbox"/>	Number:
LEI	<input type="checkbox"/>	Number:
Other	<input type="checkbox"/>	Number:

3. **Country of issuance of the identification document.**

4. **Date of incorporation of the company.**

5. **Country of nationality of the company.**

6. **Country of registered office of the company.**

7. **Full address.**

<u>Registered address</u>	<u>Legal address (if not the same)</u>

8. **Telephone.**

9. **Website and e-mail addresses.**

10. **Main business or economic sector.**

11. **Activity code.**

12. **Auditor.**

13. Affiliates and/or subsidiaries.

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14. Is the company quoted on a stock market? Please answer Yes or No. If Yes, write the name of the market and the corresponding ticker of the stock.

<input type="checkbox"/> Yes
<input type="checkbox"/> No

15. List of shareholders and percentage of ownership.

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16. Beneficial ownership of the company:

Is there any individual who holds or controls directly or indirectly more than 25% of the capital of the company or voting rights or by other means exercises control (*), directly or indirectly, over the management of the company?

If you answer No to this question you must proceed directly to question n° 17.

No	<input type="checkbox"/>
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Yes	<input type="checkbox"/>
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Enter the data of the individual/s in the following chart.

Name	
Type of identification document	
DNI	<input type="checkbox"/>
Passport	<input type="checkbox"/>
Other (enter the type)	<input type="checkbox"/>
Document N°	
Country of issuance	
Date of birth	
Country of nationality	

Which is the percentage of ownership?

	Direct ownership	<input type="checkbox"/>
	Indirect ownership	<input type="checkbox"/>

Enter the companies through which is held the indirect ownership, where relevant.

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Please complete one table for each individual who holds or controls directly or indirectly more than 25% of the capital of the company.

(*) Means exercises control:

- has a majority of the shareholders' or members' voting rights in another undertaking;
- has the right to appoint or remove a majority of the members of the administrative;
- has, by virtue of agreements entered into with third parties, the majority of voting rights;
- has the right to appoint or remove a majority of the members of the administrative, management or supervisory body of another undertaking (a subsidiary undertaking) and is at the same time a shareholder in or member of that undertaking
- has the right to exercise a dominant influence over an undertaking (a subsidiary undertaking) of which it is a shareholder or member, pursuant to a contract entered into with that undertaking or to a provision in its memorandum or articles of association.

17. List of directors of the company.

To be completed only when:

- a) there is no individual who ultimately owns or controls, directly or indirectly, a percentage of more than 25 percent of the capital or voting rights of the company; or
- b) the company is not listed on a regulated market of the European Union or a equivalent third countries.

Under Spanish laws the equivalent third countries are: Australia, Brazil, Canada, South Korea, U.S.A., Hong-Kong, India, Japan, Mexico, Singapore, South Africa and Switzerland.

Name		
Type of identification document		
DNI		<input type="checkbox"/>
Passport		<input type="checkbox"/>
Other (enter the type)		<input type="checkbox"/>
Document N°		
Country of issuance		
Date of birth		
Country of nationality		
Type	Joint and several	<input type="checkbox"/>
	Joint	<input type="checkbox"/>
	Sole	<input type="checkbox"/>
	Board of Directors	<input type="checkbox"/>

*** Please complete one table for each member of the Board of Directors of the company.**

18. List of proxy-holders that are authorized to deal with the Bank.

Proxy-holders		
	Joint and several	Joint
Name	<input type="checkbox"/>	<input type="checkbox"/>
Name	<input type="checkbox"/>	<input type="checkbox"/>
Name	<input type="checkbox"/>	<input type="checkbox"/>

19. Outline of the history of the company (creation, mergers, change of name...).

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20. Size of the company and number of employees.

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21. Kind of products and services that you expect to ask the Bank to provide.

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22. Is the account to be used following instructions from third parties? Please answer Yes or No. If your answer is 'Yes', please state who will give the orders.

<input type="checkbox"/> Yes
<input type="checkbox"/> No

23. Previous accounts and/or transactions of the company with the Bank (for instance the issuance of guarantees and documentary credits).

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Questionnaire completed by:

Signature of an authorized proxy-holder:
Date:
Name:
Title:
Address:
Telephone:
Fax:
E-mail:

The undersigning company hereby declares to be aware of international sanctions legislation in force. In addition it states that all products and services used in its transactions are free from the UN and European Union sanctions scope and, if required, agrees to provide additional information on specific transactions.

The undersigning company undertakes to inform Aresbank, S.A. of any changes relating the tax residency of people named in questions 16 and 17.

Named as the data controller Aresbank, S.A. located at Paseo de la Castellana No. 257, 28046 – Madrid, informs you that personal data provided will be incorporated into the corresponding process of Aresbank, S.A. As such, you are authorizing the Bank to use automated and physical processing in relation to providing financial services and as a fulfilment of the contractual relationship with the interested party and the legal obligations derived from it. Aresbank has appointed a Data Protection Officer (DPO) who can be contacted by email at dpd.aresbank@aresbank.es.

In compliance with the legal obligations applicable to Aresbank, S.A. personal data may be transferred for processing in the context of the fight against financing terrorism and serious forms of organized crime as well as the prevention of money laundering. They may also be submitted to the competent Tax Administrations for the indicated purpose.

The individual can use at any time the right of access, rectification, suppression, opposition, portability and limitation of the treatment by sending a letter, accompanied by a photocopy of the individual's personal identification, Spanish D.N.I., or equivalent document, to the following address: Aresbank, S.A., Paseo de la Castellana nº 257, 28046, Madrid or by email to the following address: derechoslopd@aresbank.es.

Aresbank, S.A. also informs that your personal data will be blocked when they are no longer necessary for the purpose for which they were collected, with the exception of only the Judges and Tribunals, the Public Prosecutor or the competent Public Administrations, in particular, the data protection authorities for carrying out the possible responsibilities arising from the treatment of the personal data, during the prescription period of these. Once the aforementioned deadline has expired, the Bank will proceed to delete the personal data.

Likewise, personal data may be transferred to third countries for processing due to the duty of collaboration between entities.

Additionally, the individual may submit a claim to the Spanish Agency for Data Protection. It has the necessary information in: www.agpd.es.



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