

Financial Institution Know Your Customer

Please complete the following questionnaires:

1	Full legal name	
2	Trading name (s)	
3	Number of identification document	
	Commercial Registration Number	
	Legal Entity Identifier number (LEI)	
4	Banking License	
	Banking License number	
	Banking License issued by	
	Banking License type	
	Date of Issuance	
5	SWIFT BIC	
6	Regulatory Authority	
7	Country of incorporation	
8	Date of incorporation	
9	Place of registration	
10	Registered address	Street: Number: Municipality: Zip Code: Region or state: Country:
11	Mailing Address (If not the same)	Street: Number: Municipality: Zip Code: Region or state: Country:
12	Contact person	
13	Telephone	
14	Website	
15	Email addresses	

16	Main Business (Retail Banking, Corporate Banking, Investment Banking...)			
17	Name of external Auditors			
18	Affiliates and/or subsidiaries			
19	Is your FI listed on any Stock Exchange?			
	YES <input type="checkbox"/> Name of the market:		<input type="checkbox"/> NO	
20	List of shareholders	ID Num-ber	Percentage of ownership	Nationality

BENEFICIAL OWNERSHIP OF THE COMPANY	
21	<p>Is there any individual who holds or controls directly or indirectly more than 25% of the capital of the company?</p> <p>If you answer "No" to this question you must proceed directly to List of members of Board of Directors (question 22).</p> <p>**Please, complete one table for everyone who holds or controls directly or indirectly more than 25% of the capital of the company.</p>
<p>YES <input type="checkbox"/> NO <input type="checkbox"/></p>	
Enter the data of the individual/s in the following chart.	
Name	
Number of identification document	
DNI	
Passport	
Residence Card	
Other	
Country of issuance	
Date of birth	
Country of nationality	
Country of residence	
Which is the percentage of ownership	
Direct ownership	Indirect ownership
%	%
	Enter the companies through which is held the indirect ownership, where relevant

23	Executive Management			
	Name	Nationality	Titles	PEP
24	FI's History (Please indicate major events, if any, such as acquisitions, mergers, etc.)			
25	Number of employees			
26	Number of Domestic branches			
27	Number of Foreign branches			
28	Person responsible for Anti money Laundering Compliance (If your FI assigned one):			
	Name			
	Title			
	Address			
	Telephone			
	Fax			
	Email			

Questionnaire completed by:

Signature:

Name:

Date:

Title:

Email:



Please attach a copy of your last annual report if it is not available on your website.

The undersigning company hereby declares to be aware of international sanctions legislation in force. In addition, it states that all products and services used in its transactions are free from the UN and European Union sanctions scope and, if required, agrees to provide additional information on specific transactions.

The undersigning company undertakes to inform Aresbank, S.A. of any changes relating the tax residency of people named.

Named as the data controller Aresbank, S.A. located at Paseo de la Castellana No. 257, 28046 – Madrid, informs you that personal data provided will be incorporated into the corresponding process of Aresbank, S.A. As such, you are authorizing the Bank to use automated and physical processing in relation to providing financial services and as a fulfilment of the contractual relationship with the interested party and the legal obligations derived from it. Aresbank has appointed a Data Protection Officer (DPO) who can be contacted by email at dpd.aresbank@aresbank.es.

In compliance with the legal obligations applicable to Aresbank, S.A. personal data may be transferred for processing in the context of the fight against financing terrorism and serious forms of organized crime as well as the prevention of money laundering. They may also be submitted to the competent Tax Administrations for the indicated purpose.

The individual can use at any time the right of access, rectification, suppression, opposition, portability and limitation of the treatment by sending a letter, accompanied by a photocopy of the individual's personal identification, Spanish D.N.I., or equivalent document, to the following address: Aresbank, S.A., Paseo de la Castellana nº 257, 28046, Madrid or by email to the following address: derechoslopd@aresbank.es.

Aresbank, S.A. also informs that your personal data will be blocked when they are no longer necessary for the purpose for which they were collected, with the exception of only the Judges and Tribunals, the Public Prosecutor or the competent Public Administrations, in particular, the data protection authorities for carrying out the possible responsibilities arising from the treatment of the personal data, during the prescription period of these. Once the aforementioned deadline has expired, the Bank will proceed to delete the personal data.

Likewise, personal data may be transferred to third countries for processing due to the duty of collaboration between entities.

Additionally, the individual may submit a claim to the Spanish Agency for Data Protection. It has the necessary information in: www.agpd.es.



Aresbank, S.A.

Paseo de la Castellana, 257
28046 – Madrid

Tel: (34) 91 314 95 95

Fax : (34) 913149768

Email: aresbank@aresbank.es

SWIF code: AREBESMMXXX

Web site: www.aresbank.es