



Financial institution Know Your Customer (KYC) /Anti-money laundering compliance form

Please complete the following questionnaires:

1. Name of your financial institution (FI):

2. Full address of the financial institution (FI):

2.1 Registered Address:

2.2 Mailing Address: (if not the same)

3. Telephone:

4. Fax:

5. Local identification number:

6. Legal Entity Identifier (LEI) number:

7. Email:

8. Place of registration:

9. Website Address:

10. SWIFT BIC:

11. Main Business (Retail banking, Corporate banking, Investment banking...other):

12. Banking License number:

13. Banking License issued by:

14. Banking License type: Date of issuance:

15. Auditor:

16. Please list your FI's affiliates and subsidiaries:

17. Is your FI listed on any Stock Exchange? If yes, please provide the name of the Stock Exchange

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18. Beneficial ownership of the company:

Is there any individual who holds or controls directly or indirectly more than 25% of the capital of the company?

If you answer No to this question you must proceed directly to question n° 18.

No	<input type="checkbox"/>
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Yes	<input type="checkbox"/>
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Enter the data of the individual/s in the following chart.

Name		
Type of identification document		
DNI	<input type="checkbox"/>	
Passport	<input type="checkbox"/>	
Other (enter the type)	<input type="checkbox"/>	
Document N°		
Country of issuance		
Date of birth		
Country of nationality		
Which is the percentage of ownership?		
	Direct ownership	<input type="checkbox"/>
	Indirect ownership	<input type="checkbox"/>

Enter the companies through which is held the indirect ownership, where relevant.

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** Please complete one table for each individual who holds or controls directly or indirectly more than 25% of the capital of the company.*

23. Number of Domestic branches:

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24. Number of Foreign branches:

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25. Name of the supervisory body:

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VII. Person responsible for Anti-money laundering compliance (If your FI assigned one):

Name:

Title:

Address:

Telephone:

Fax:

Email:

Questionnaire completed by:

Signature:

Date:

Name:

Title:

Address:

Telephone:

Fax:

Email:

Please attach a copy of your last annual report if it is not available on your website.

Thank you for completing the questionnaire.

The undersigning financial institution hereby declares to be aware of international sanctions legislation in force. In addition it states that all products and services used in its transactions are free from the UN and European Union sanctions scope and, if required, agrees to provide additional information on specific transactions.

The undersigning company undertakes to inform Aresbank, S.A. of any changes relating the country of tax residence of people named in questions 17 and 18.

Named as the data controller Aresbank, S.A. located at Paseo de la Castellana No. 257, 28046 – Madrid, informs you that personal data provided will be incorporated into the corresponding process of Aresbank, S.A. As such, you are authorizing the Bank to use automated and physical processing in relation to providing financial services and as a fulfilment of the contractual relationship with the interested party and the legal obligations

derived from it. Aresbank has appointed a Data Protection Officer (DPO) who can be contacted by email at dpd.aresbank@aresbank.es.

In compliance with the legal obligations applicable to Aresbank, S.A. personal data may be transferred for processing in the context of the fight against financing terrorism and serious forms of organized crime as well as the prevention of money laundering. They may also be submitted to the competent Tax Administrations for the indicated purpose.

The individual can use at any time the right of access, rectification, suppression, opposition, portability and limitation of the treatment by sending a letter, accompanied by a photocopy of the individual's personal identification, Spanish D.N.I., or equivalent document, to the following address: Aresbank, S.A., Paseo de la Castellana nº 257, 28046, Madrid or by email to the following address: aresbank@aresbank.es.

Aresbank, S.A. also informs that your personal data will be blocked when they are no longer necessary for the purpose for which they were collected, with the exception of only the Judges and Tribunals, the Public Prosecutor or the competent Public Administrations, in particular, the data protection authorities for carrying out the possible responsibilities arising from the treatment of the personal data, during the prescription period of these. Once the aforementioned deadline has expired, the Bank will proceed to delete the personal data.

Likewise, personal data may be transferred to third countries for processing due to the duty of collaboration between entities.


Additionally, the individual may submit a claim to the Spanish Agency for Data Protection. It has the necessary information in: www.agpd.es.



Aresbank, S.A.

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28046 – Madrid

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Fax : (34) 913149768

Email: aresbank@aresbank.es

SWIF code: AREBESMMBAR

Web site: www.aresbank.es