



Aresbank, S.A.  
Paseo de la Castellana, 257  
28046 – Madrid, SPAIN

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## SELF-CERTIFICATION FOR INDIVIDUALS

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Subject to the provisions of (i) the Agreement Between the United States of America and the Kingdom of Spain to Improve International Tax Compliance and to Implement the Foreign Account Tax Compliance Act (FATCA), dated on May 14, 2013 (FATCA Agreement); (ii) the Regulation HAP/1136/2014, of June 30, regulating several issues related to the FATCA obligations and approving form 290 (Regulation FATCA); (iii) the Royal Decree 1021/2015, of November 13, regulating the automatic exchange of information of several financial accounts for purposes of mutual assistance (RD 1021/2015); (iv) Regulation HAP/1695/2016, of October 25, approving form 289 (Regulation 1021/2015) and (v) any other regulation entering in force after the fulfilment of this form, the information specified in this Form will be annually exchanged to the relevant authorities of the tax of residence of the client (hereinafter, all the above referred regulations, “automatic exchange regulations”).



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<b>Name of client</b>	
<b>Country of citizenship<sup>1 2</sup></b>	
<b>Tax jurisdiction/s where the client is resident for tax purposes</b>	<b>Tax identification number</b>
<b>Place and date of birth<sup>3</sup></b>	
<b>Address<sup>4</sup></b>	Country: Street: Number: Zip code:                      Municipality: Region or state:
<b>Email address</b>	
<b>Contact person</b>	
<b>Telephone number</b>	
<b>Fax Number</b>	

<sup>1</sup> Country where the client is deemed as citizen or has the nationality. A person can be deemed as U.S. citizen if (i) has the U.S. nationality; (ii) a U.S. passport; (iii) is a permanent resident in U.S.; (iv) has a green card; (v) has requested the joint tax statement together with his spouse who has the U.S. nationality or (vi) has been physically present in the United States (U.S.) 31 days during the current year and 183 days during the 3-year period that includes the current year and the 2 years immediately before that without being an individual temporarily present in the U.S. as a foreign government-related individual, a teacher or trainee, a student or a professional athlete.

<sup>2</sup> Provide identification document in force.

<sup>3</sup> If the answer is "United States", Aresbank must treat the client as a U.S. person. Notwithstanding, Aresbank is not required to treat a client as a U.S. person if Aresbank obtains (i) a self-certification that the client is neither a U.S. citizen nor a U.S. resident for tax purposes (which may be on an IRS Form W-8 or other similar agreed form); (ii) a copy of the client's Certificate of Loss of Nationality of the United States and (iii) a non-U.S. passport or other government-issued identification evidencing the client's citizenship or nationality in a country other than the United States.

<sup>4</sup> If the answer is "United States", Aresbank must treat the client as a U.S. person. Notwithstanding, Aresbank is not required to treat a client as a U.S. person if Aresbank obtains (i) a self-certification that the client is neither a U.S. citizen nor a U.S. resident for tax purposes (which may be on an IRS Form W-8 or other similar agreed form) and (ii) a non-U.S. passport or other government-issued identification evidencing the client's citizenship or nationality in a country other than the United States. If the address corresponds to a jurisdiction different from the jurisdiction in which the client is resident for tax purposes, a tax certificate issued by the relevant tax authorities must be provided.



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<b>The client gives standing instructions to transfer funds to an account maintained in (please, state the country)<sup>5</sup></b>	
<b>The client currently has an effective power of attorney or signatory authority granted to a person with a U.S. address in (please, state the country)<sup>5</sup></b>	
<b>The client has a current mailing or residence address for the retention of correspondence that constitutes the only address identified in relation to the client in (please, state the country)<sup>5</sup></b>	

The client declares that the information stated at this form is true, accurate and complete. In addition, the client commits to inform Aresbank about any change of circumstances with respect to the information provided, within a 30-day period from the event which justifies such change.

Named as the data controller Aresbank, S.A. located at Paseo de la Castellana No. 257, 28046 - Madrid, informs you that personal data provided will be incorporated into the corresponding process of Aresbank, S.A. As such, you are authorizing the Bank to use automated and physical processing in relation to providing financial services and as a fulfilment of the contractual relationship with the interested party and the legal obligations derived from it. Aresbank has appointed a Data Protection Officer (DPO) who can be contacted by email at [dpd.aresbank@aresbank.es](mailto:dpd.aresbank@aresbank.es).

In compliance with the legal obligations applicable to Aresbank, S.A. personal data may be transferred for processing in the context of the fight against financing terrorism and serious forms of organized crime as well as the prevention of money laundering. They may also be submitted to the competent Tax Administrations for the indicated purpose.

The individual can use at any time the right of access, rectification, suppression, opposition, portability and limitation of the treatment by sending a letter, accompanied by a photocopy of the individual's personal identification, Spanish D.N.I., or equivalent document, to the following address: Aresbank, S.A., Paseo de la Castellana nº 257, 28046, Madrid or by email to the following address: [derechoslopd@aresbank.es](mailto:derechoslopd@aresbank.es).

Aresbank, S.A. also informs that your personal data will be blocked when they are no longer necessary for the purpose for which they were collected, with the exception of only the Judges and Tribunals, the Public Prosecutor or the competent Public Administrations, in particular, the data protection authorities for carrying out the possible responsibilities arising from the treatment of the personal data, during the prescription period of these. Once the aforementioned deadline has expired, the Bank will proceed to delete the personal data.

Likewise, personal data may be transferred to third countries for processing due to the duty of collaboration between entities.

Additionally, the individual may submit a claim to the Spanish Agency for Data Protection. It has the necessary information in: [www.agpd.es](http://www.agpd.es).

**Client's signature**

\_\_\_\_\_

**Place and date:**

*Dated* \_\_\_\_\_

<sup>5</sup> If the answer is "United States", Aresbank must treat the client as a U.S. person. Notwithstanding, Aresbank is not required to treat a client as a U.S. person if Aresbank obtains (i) a self-certification that the client is neither a U.S. citizen nor a U.S. resident for tax purposes (which may be on an IRS Form W-8 or other similar agreed form) and (ii) tax certificate issued by the relevant tax authorities. If the address corresponds to a jurisdiction different from the jurisdiction in which the client is resident for tax purposes, a tax certificate issued by the relevant tax authorities must be provided.